UNIVERSITY OF THE INCARNATE WORD SUBRECIPIENT PROJECT CERTIFICATION FORM SUBRECIPIENT ORGANIZATION LEGAL NAME: _____ UIW PI: SUBRECIPIENT PI: PRIME AWARDING ENTITY: PROJECT TITLE PROJECT PERIOD START DATE: ___ END DATE: SUBAWARD AMOUNT: \$ **PROJECT CERTIFICATIONS** 1. **Human Subjects:** Will the project involve interaction with Human Subjects, or identifiable data or specimen from human subjects? **YES NO** Approval Date: If "YES," provide a copy of IRB approval with this form prior to execution of the subaward agreement. In addition, if NIH funding is involved, all key personnel engaged in human subjects research must take the required NIH human subjects training. 2. Animal Subjects: Will the project involve the use of vertebrate animals? ☐YES ☐ NO Approval Date: If "YES," provide a copy of IACUC approval with this form prior to execution of the subaward agreement. 3. Stem Cells: Will this project involve the use and/or creation of human embryonic stem cells? ☐YES ☐NO Approval Date: If "YES," provide a copy of Stem Cell approval with this form prior to execution of the subaward agreement. 4. Cost Sharing/Matching/In-Kind: Will the subaward involve Cost Sharing, Matching, and/or In-Kind as part of Subrecipient involvement in the project? **YES NO** Amount: \$ If "YES," provide specific amount and justification in subrecipient's budget. 5. Equipment: Will this project involve purchase or use of equipment? **YES NO** If "YES," select applicable: \square Purchase of Equipment \square Use of Sponsor/Government Furnished Equipment 6. Ethics in Research Training (applicable to projects funded by NSF): ☐ This project is not being funded by NSF. ☐ Subrecipient Organization hereby certifies that it will ensure all undergraduates, graduate students, and postdoctoral researchers who will be supported by NSF funding will be trained on the oversight in the responsible and ethical conduct of research as required under the "America COMPETES Act." 7. Does the subrecipient have a Responsible Conduct of Research (RCR) Policy? NO NO If not, does the subrecipient agree to abide by UIW's RCR policy? YES The information and certifications above have been read, signed, and made by an authorized official of the Subrecipient named

herein. The appropriate programmatic and administrative personnel involved in this application are aware of prime sponsor's policy concerning subawards and are prepared to establish the necessary inter-institutional agreements consistent with those policies. Any work and/or expenses incurred prior to execution of a subaward agreement are at the Subrecipient's own risk.

Signature of Subrecipient's Authorized Official Date

Name and Title **Email and Phone**